

## **Egan-Jones Ratings Company (“EJR”)**

### **List of Material Changes to Form NRSRO Pursuant to Form NRSRO Instruction F**

A. As part of this Annual Certification filing, EJR has made the following material updates:

1) Exhibit 3 was updated to include:

- An updated Code of Conduct and clarifying procedures for Third Party Managed Accounts
- Off-Channel Communications Policy update in the Information Security Policy

2) Exhibit 5 was updated to:

- Include an updated Code of Conduct

3) Exhibit 7 was updated to include:

- An updated Code of Conduct
- Definition of subject person
- Chaperone language